

## Advanced Practice Providers and Supervising Physician's Responsibilities

<b>Clinical Supervision</b>	<p>A supervising physician must possess a current, unencumbered license to practice in the state of Tennessee and shall have experience and/or expertise in the same area of medicine as the certified advanced practice provider.</p> <p>Supervision <b>does not require the continuous</b> presence of the supervising physician at the clinical practice location.</p>
<b>Consultation</b>	<p>The supervising physician must be available for consultation at all times, or shall make arrangements for a substitute physician to be available. The supervising physician shall be responsible for ensuring compliance with the applicable standard of care.</p> <p>The advanced practice provider shall develop clinical guidelines in collaboration with the supervising physician to include a method for documenting consultation, referral and 20% chart review.</p>
<b>Protocols</b>	<p>Protocols are mandated by the Tennessee Board of Medical Examiners (BME) and are defined as written guidelines for medical management. (Chapter 0880-6-.02, Tennessee Board of Medical Examiners Rules and Regulations)</p> <ul style="list-style-type: none"> <li>• <b>Shall be jointly developed and approved by the supervising physician and nurse practitioner;</b></li> <li>• Shall outline and cover the applicable standard of care;</li> <li>• Shall be reviewed and updated biennially;</li> <li>• Shall be maintained at the practice site;</li> <li>• Shall account for all protocol drugs by appropriate formulary;</li> <li>• Shall be specific to the population seen;</li> <li>• Shall be dated and signed; and</li> <li>• Copies of protocols and formularies shall be maintained at the practice site and shall be made available upon request for inspection by the respective boards.</li> </ul>
<b>Notice and Formulary</b>	<p>All Advanced Practice Providers (APPs) with prescriptive authority must submit a signed copy of the <b>Notice and Formulary</b> to the Tennessee Board of Nursing. This document includes the categories of legend drugs that may be prescribed by the APP per approved protocols. The supervising MD will review and provide their DEA number, MD license number, and signature if they agree the selections of drug categories align with the protocols.</p>
<b>Quality Review</b>	<p>A supervising physician (or designee) shall personally review at least twenty percent (20%) of charts monitored or written by the certified nurse practitioner every thirty (30) days.</p>
<b>Mandatory Review</b>	<p>Once every ten (10) business days the supervising physician shall make a personal review of the historical, physical and therapeutic data and shall so certify by signature on any patient within thirty (30) days:</p> <ul style="list-style-type: none"> <li>• When medically indicated;</li> </ul>

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	<ul style="list-style-type: none"> <li>• When requested by the patient;</li> <li>• When prescriptions written by the certified nurse practitioner fall outside the protocols;</li> <li>• When a controlled drug has been prescribed.</li> </ul> <p>There is one primary designated physician as the “supervising physician”. However, per the Board of Medical Examiners (BME) guidelines, the supervising physician can designate supervisory responsibilities to other qualified MDs. Therefore, the chart review can be completed by other attending MDs as deemed appropriate by the supervising physician.</p> <p>For example: If the NP’s chart review process is to forward all of the patient charts that they see every Monday for review to the MD they work with each Monday, this will comply with BME rules/regulations, if this collaborative process is approved by the designated supervising MD.</p>
<b>Controlled Substance Monitoring Database</b>	<p>All prescribers with DEA numbers who prescribe controlled substances providing direct care to patients in Tennessee for more than 15 calendar days per year shall be registered in the controlled substance monitoring database.</p> <ul style="list-style-type: none"> <li>• The Advanced Practice Provider is obligated to enter the supervisor relationship into the database with the supervising MDs driver’s license number</li> <li>• Supervising MD should log into the CSMD to confirm, this confirmation from the MD prevents a NP or PA from entering a false supervisory relationship</li> </ul>
<b>Evaluation</b>	<p>The supervising physician actively participates in the initial and continued competency validation process including but not limited to:</p> <ul style="list-style-type: none"> <li>• FPPE/OPPE;</li> <li>• Annual competency evaluations;</li> <li>• Special privileges and Advanced procedures</li> </ul>

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**Example: Advanced Practice Provider process for requesting MD chart review when controlled substance is prescribed (by NP or PA)**

1. The Board of Medical Examiners Rules and Regulations mandate 100% chart review by the supervising MD when a controlled substance is prescribed.

When you have assessed a patient and the note is complete in star panel (not draft status), click on the box in the far right corner called, This Document.

Select, **send to user's worklist for review**, in the drop down box, pictured below

The screenshot shows the StarPanel interface for a patient named Lori Michelle Test. The patient's record is displayed, including a list of documents and a summary of the current visit. A dropdown menu is open over the 'This Document' button, showing various actions that can be performed on the document. The option 'Send to user's worklist for review' is highlighted in blue. A blue arrow points from the 'This Document' button to the dropdown menu, and another blue arrow points from the dropdown menu to the right.

2. Next, you will be prompted to type in the last name of the supervising MD who you would like to review

**Send the document for review**

Add a user:

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- Next you have the option to add a comment. Type, **controlled substance review**, in the comment box and select the orange send button to complete the routing process to the MD. (see image below).

The screenshot displays a web-based medical application interface. At the top, there is a navigation bar with various menu items like 'PLChart', 'ADVANCE', 'SignDrafts', etc. Below this is a patient information header for '017337080 TEST, LORI MICHELLE'. The main content area shows a document titled 'FORM Pediatrics 5-6 Year Well Child Checkup 2014/11/03'. A 'Send the document for review' section is highlighted in blue, containing a 'Send' button and a comment field with the text 'controlled substance'. Two blue arrows are overlaid on the image: one points to the 'Send' button, and the other points to the comment field. The bottom of the page shows patient details and a 'Past Medical History' section.

017337080 TEST, LORI MICHELLE (02/02/2000 - 15YO F) 987-65-4321 (615) 555-1234 code status: No Data Alert PCP: Stacy, Brandi L

11/10/14

Send the document for review Add a user: churc

Delete

Churchill, Kevin B. (Optional) Reason: Comment: controlled substance

Send Cancel

017337080 TEST, LORI MICHELLE (02/02/2000 - then 14YO F) Actions

FORM Pediatrics 5-6 Year Well Child Checkup 2014/11/03 Created by: Patterson, Barron Lee Electronically signed by: Patterson, Barron Lee (attending physician) (pattery) on 2014/11/03 13:40 [This document]

Print Patient Instructions

Monroe Carell Jr.  
Children's Hospital  
at Vanderbilt

Pediatrics 5 - 6 Year Well Child Checkup

Patient Name: Lori Michelle Test	Date of Birth: 02/02/2000	MR#: 017337080
Date of Service: 11/03/2014	Age: 14	Gender: Female

Interpreter/Phone Line Use:  Yes  No  
Language for interview: English

Identifying Information/Interval History/Parent Concerns:  
test test test  
blah blah blah

Patient Summary (Click to expand/collapse)

Click here to update Patient Summary Fields below (except Past Med Hx, Family History, and Meds):  
Past Medical History: