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| **PACT#:** |  |
| **Completed By:** |  |
| **Date Discovered:** |  |
| **Date Completed:** |  |

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| **Risk Assessment Scoring Grid** |   |
|   |  |  |  |  |  |  |  |  |  |  |   |
|   | **Question** | **Score** | **Notes** |   |
|   | 1 | a |  |  |   |
|   | 1 | b |  |  |   |
|   | 2 | a |  |  |   |
|   | 2 | b |  |  |   |
|   | 2 | c |  |  |   |
|   | 3 | a |  |  |   |
|   | 4 | a |  |  |   |
|   |   |   |  |  |   |
|   | **TOTAL** |  |  |   |
|   |  |  |  |  |  |  |  |  |  |  |   |
|   | **KEY:** |   | < 8 | Low probability that the PHI has been compromised (notification not required) |   |
|   |   |  | 8 - 9 | Medium probability the PHI has been compromised (notification may be required; determined by business leader and Privacy Office based upon facts of specific event) |   |
|   |   |  | 10 or more | High probability the PHI has been compromised (notification will generally be required unless an exception is determined based upon specific facts of the event |   |
|   |   |  |   |   |   |   |   |   |   |   |   |
|   |   |  |  **\* 2. b. HI** | Automatically triggers notification for disclosure external to VUMC or when believed to have been used for personal use or gain |   |
|   |   |   | **2. c. HI** | Automatically triggers notification if any 2.b. direct identifiers are also disclosed |   |
|   |   |   |   |   |   |   |   |   |   |   |   |
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